



# Work Package 4 – UNINA

## Federated Learning in Generative Models and Graph Neural Networks

Towards an Understanding of Artificial Intelligence via a transparent, open and explainable perspective - TUAIù  
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# WP4 - Main Objectives

## **Objective 1:** *Privacy-Preserving Federated Learning for Generative Models*

- **Focus:** Design innovative generative models (e.g., GANs, VAEs) that function within federated frameworks. Emphasize privacy by ensuring that sensitive data remains decentralized, maintaining high-quality data synthesis without compromising security.
- **Research Opportunity:** Explore adaptive privacy techniques, like differential privacy and homomorphic encryption, to safeguard data throughout the learning process. This approach could benefit sectors handling sensitive data, such as healthcare and finance.

## **Objective 2:** *Advancement in Graph Neural Networks (GNNs) for Federated Learning*

- **Focus:** Improve GNN architectures to handle distributed, graph-structured data. This involves addressing data heterogeneity and ensuring that models maintain interpretability and efficiency in federated environments.
- **Research Opportunity:** Implement explainable AI (XAI) techniques specific to GNNs, enabling stakeholders to interpret complex model decisions, which could be crucial in critical sectors like smart infrastructure and IoT-based monitoring.

## **Objective 3:** *Integrating NLP within Federated Learning and GNN Frameworks*

- **Focus:** Develop NLP models capable of decentralized language processing, where data privacy and security are critical. Utilize GNNs to enhance understanding of complex linguistic structures.
- **Research Opportunity:** Explore applications in smart healthcare and public safety, where federated NLP could analyze decentralized data (e.g., patient records, emergency response data) securely and transparently.



# Deliverables

## D4.1 - Federated AI Innovations Collection:

- **Content:** A comprehensive suite of generative models, GNNs, and NLP solutions designed for federated settings.
- **Potential Impact:** These models can serve as reference implementations for industry and academia, promoting privacy-aware AI adoption in domains like smart manufacturing and mobility.
- **Research Opportunity:** Publish and share these federated models on open platforms, fostering collaborative improvement and application of federated AI across various sectors.

## D4.2 - Prototype Suite of Federated AI Systems and Evaluation Outcomes:

- **Content:** A set of federated AI prototypes rigorously tested in real-world scenarios, with performance metrics covering privacy, efficiency, and robustness.
- **Potential Impact:** Establishing a benchmark for federated AI performance, allowing stakeholders to assess model scalability and applicability across decentralized systems.
- **Research Opportunity:** Develop a robust evaluation framework focusing on privacy-preserving metrics, contributing valuable insights to the broader AI community regarding federated learning standards.



# Tasks Overview

## T4.1 - Federated Learning for Generative Models:

- **Activities:** Design prototype architectures that combine data synthesis with federated learning, ensuring scalability and data protection. Implement privacy-preserving mechanisms tailored for distributed data.
- **Research Opportunity:** Experiment with techniques like split learning to optimize the data flow between nodes, maintaining privacy without sacrificing model quality.

## T4.2 - Advanced Studies on GNNs within Federated Learning:

- **Activities:** Adapt GNNs for decentralized data processing. Address challenges in synchronizing GNN updates across nodes, ensuring model efficiency.
- **Research Opportunity:** Investigate edge-computing approaches to minimize latency and improve the efficiency of GNNs in federated setups, relevant for real-time applications.

## T4.3 - Integrating NLP with Federated Learning and GNNs:

- **Activities:** Develop NLP models that process language data across decentralized networks. Incorporate GNNs to capture contextual language relationships.
- **Research Opportunity:** Apply Federated NLP in sectors with sensitive data, such as legal tech or public sector, enabling secure, multi-node language data analysis.

## T4.4 - Real-world Implementation and Testing of Frameworks:

- **Activities:** Deploy federated models in operational settings, evaluating real-world performance and refining models based on feedback.
- **Research Opportunity:** Partner with smart city or healthcare sectors to pilot and assess model effectiveness in managing decentralized data, contributing to policy and best-practice guidelines for federated AI deployment.



# Doctoral Candidates and Projects (1/3)

**Doctoral Candidate 1:** *Privacy-Preserving Federated Generative Models for Decentralized Data Synthesis*

**Objectives:**

- Design and validate generative models within federated learning frameworks, emphasizing privacy preservation.
- Address challenges of decentralized data generation without compromising individual data privacy.

**Expected Results:**

- A robust federated generative model capable of synthesizing high-quality data while preserving data privacy.
- Development of protocols for deploying these models in privacy-sensitive domains, such as healthcare and finance.



## Doctoral Candidates and Projects (2/3)

**Doctoral Candidate 2:** *Decentralized Graph Neural Networks: Adaptation, Training, and Interpretability in Federated Environments*

### Objectives:

- Adapt and train Graph Neural Networks (GNNs) in federated learning settings to handle partitioned graph data.
- Enhance interpretability of GNNs within federated environments for better model transparency.

### Expected Results:

- A prototype GNN suitable for federated environments, with minimal information leakage and high interpretability.
- Development of best practices and methodologies for applying GNNs in decentralized scenarios.



# Doctoral Candidates and Projects (3/3)

**Doctoral Candidate 3:** *Enhancing NLP Capabilities through Federated Learning and GNNs*

## Objectives:

- Integrate NLP within federated frameworks and leverage GNNs for processing complex language structures.
- Tackle challenges in decentralized NLP, focusing on privacy, data distribution, and model performance.

## Expected Results:

- Federated NLP models capable of decentralized language processing while preserving data privacy.
- Comprehensive insights and best practices for implementing federated NLP in various applications.



# Expected Outcomes

## Federated Generative Models:

- **Outcome:** High-quality, privacy-preserving data generation models for decentralized settings.
- **Impact:** Enabling sensitive data applications (e.g., healthcare, finance) to leverage generative AI without compromising data privacy.
- **Research Opportunity:** Compare federated generative models against traditional centralized models to demonstrate equivalent performance with added privacy benefits.

## Federated GNNs:

- **Outcome:** Efficient, interpretable GNNs adapted for federated environments.
- **Impact:** Support sectors needing transparent and decentralized data analysis, like transportation and energy networks.
- **Research Opportunity:** Publish methodologies for decentralized GNN training, contributing to the development of standards in federated GNN applications.

## Federated NLP Models:

- **Outcome:** Decentralized NLP systems integrated with GNNs for complex data interpretation.
- **Impact:** Facilitate privacy-preserving language data processing for applications in legal, healthcare, and education.
- **Research Opportunity:** Develop and share guidelines on privacy-aware language processing, furthering ethical AI practices in NLP.





# Innovation and Impact

## Innovation in Privacy-Preserving AI:

- **Content:** WP4's federated approaches combine AI's data processing power with stringent privacy standards, meeting current demands for secure, decentralized systems.
- **Spinoff Potential:** These innovations have the potential to inspire new startups focusing on privacy-first AI solutions in various industries, from personalized healthcare to autonomous systems.

## Impact on Sustainability and Efficiency in AI:

- **Content:** WP4 aims to create energy-efficient AI frameworks by leveraging federated architectures that minimize data transfers.
- **Research Opportunity:** Evaluate the energy consumption of federated vs. centralized models in GNNs and generative AI, contributing to the broader conversation on green AI.

## Enabling Explainable AI (XAI) in Federated Contexts:

- **Content:** WP4 prioritizes model interpretability, especially for GNNs and NLP models in decentralized settings.
- **Potential Impact:** Facilitates compliance with AI transparency regulations (e.g., GDPR), opening opportunities for adoption in highly regulated sectors such as finance and healthcare.
- **Research Opportunity:** Innovate on explainability techniques specific to federated AI, offering insights that bridge technical implementation with ethical standards.



# Collaboration with Project Partners

**Research Partner Involvement:** Outline cooperation with partners like ALMAWAVE SPA, CONFORM, UPM, SUT, NTNU.

**Secondments & Training:** Describe planned secondments for Doctoral Candidates to promote interdisciplinary skills and knowledge sharing across institutions.

## Timeline and Milestones

**Project Duration:** 4 years, starting from October 1, 2024.

**Recruitment of 3 Doctoral Candidates by June 2025**